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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/843,495	04/25/2001	Ezhilan Narasimhan	SUN-P6114-MEG	9277
28422	7590	11/30/2005		
HOYT A. FLEMING III P.O. BOX 140678 BOISE, ID 83714			EXAMINER JACOBS, LASHONDA T	
			ART UNIT	PAPER NUMBER
			2157	
DATE MAILED: 11/30/2005				

Please find below and/or attached an Office communication concerning this application or proceeding.

**Office Action Summary**

Application No.

09/843,495

Applicant(s)

NARASIMHAN ET AL.

Examiner

LaShonda T. Jacobs

Art Unit

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-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

**Period for Reply**

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

**Status**

- 1) ☒ Responsive to communication(s) filed on September 1, 2005.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

**Disposition of Claims**

- 4) ☒ Claim(s) 1-21 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1-21 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

**Application Papers**

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

**Priority under 35 U.S.C. § 119**

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some \* c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
  2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
  3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

**Attachment(s)**

- |   |   |
|---|---|
| 1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892)             | 4) <input type="checkbox"/> Interview Summary (PTO-413)                     |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948)    | Paper No(s)/Mail Date: _____  |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08) | 5) <input type="checkbox"/> Notice of Informal Patent Application (PTO-152) |
| Paper No(s)/Mail Date: _____  | 6) <input type="checkbox"/> Other: _____                                    |

## DETAILED ACTION

### *Response to Amendment*

This Office Action in response to Applicants' Amendment/Request for Reconsideration filed on September 1, 2005. Claims 22-23 have been cancelled. Claims 1, 20 and 21 have been amended. Claims 1-21 are presented for further examination.

### *Claim Rejections - 35 USC § 103*

1. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

2. Claims **1-3** and **10-21** are rejected under 35 U.S.C. 103(a) as being unpatentable over Hardy et al (hereinafter "Hardy", U.S. Pat. No. 4,648,044) in view of Wang et al (hereinafter, "Wang", U.S. Pat. No. 6,598,036).

As per claim **1**, Hare discloses a method of generating a subroutine, method comprising:

- then receiving a rule by the computer, the rule defining a premise and a conclusion to be drawn from the premise (col. 10, lines 12-20);
- then generating a subroutine by the server that determines if the premise is true and, based at least in part upon the conclusion, outputting a first value if the premise is true and outputting a second value if the premise is false (col. 11, lines 40-67);

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- then receiving a parameter from the server by the computer (col. 11, lines 47-53);
- then determining, by the server, based at least in part upon the subroutine and the parameter, if the premise is true or if the premise is false (col. 11, lines 40-67); and

However, Hardy does not explicitly disclose:

- displaying a field for receiving a rule on a computer.
- then transmitting the rule from the computer to a server;
- then storing the rule on the server;
- then transmitting the parameter from the computer to the server; and
- then transmitting the first value to the computer if the premise is true, otherwise transmitting the second value to the computer.

Wang disclose a method for serving rules on a network through servlet and applet including:

- displaying a field for receiving a rule on a computer (col. 3, lines 29-41);
- then transmitting the rule from the computer to a server (col. 3, lines 42-52);
- then storing the rule on the server (col. 3, lines 7-14);
- then transmitting the parameter from the computer to the server (col. 3, lines 7-14 and lines 42-52); and
- then transmitting the first value to the computer if the premise is true, otherwise transmitting the second value to the computer (col. 4, lines 34-51).

Therefore, it would have been obvious to one of ordinary skill in the art at the time the invention was made to modify Hare by including the step of receiving proposed data from a client computer via an electronic network and utilize the server computer to prepare the result

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data in order to provide rules and parameters to users via the network in a timely and efficient manner.

As per claim **2**, Hardy discloses the invention substantially as the claims discussed above.

However, Hardy does not explicitly disclose:

- wherein the act of receiving the rule includes receiving the rule by a browser running on the computer.

Wang disclose a method for serving rules on a network through servlet and applet including:

- wherein the act of receiving the rule includes receiving the rule by a browser running on the computer (col. 2, lines 57-64).

Therefore, it would have been obvious to one of ordinary skill in the art at the time the invention was made to modify Hare by including a web browser on the client device thereby allowing the client input rules to server in order to receive a result set in a timely and efficient manner.

As per claim **3**, Hardy discloses:

- wherein receiving the rule includes receiving a Java equation (col. 17, lines 13-20).

As per claim **5**, Hardy discloses:

- wherein the act of receiving the rule includes receiving a Basic equation (col. 17, lines 13-20).

As per claim **6**, Hardy discloses:

- wherein the act of receiving the rule includes receiving a Virtual Basic equation (col. 17, lines 13-20).

As per claim 7, Hardy discloses the invention substantially as claims discussed above.

However, Hardy does not explicitly disclose:

- wherein the act of transmitting the rule to the server includes transmitting the rule over the Internet.

Wang disclose a method for serving rules on a network through servlet and applet including:

- wherein the act of transmitting the rule to the server includes transmitting the rule over the Internet (col. 3, lines 7-14 and lines 42-52).

Therefore, it would have been obvious to one of ordinary skill in the art at the time the invention was made to modify Hare by including the step of receiving proposed data from a client computer via an electronic network and utilize the server computer to prepare the result data in order to provide rules and parameters to users via the network in a timely and efficient manner.

As per claim 8, Hardy discloses:

- wherein the act of generating the subroutine includes generating a Java function (col. 17, lines 13-20).

As per claim 10, Hardy discloses:

- wherein the act of generating the subroutine includes generating a Basic function (col. 17, lines 13-20).

As per claim 11, Hardy further discloses:

- verifying that the subroutine is valid (col. 11, lines 34-46).

As per claim 12, Hardy discloses:

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- wherein the act of verifying that the subroutine is valid includes running the subroutine through a syntax checker (col. 4, lines 18-22 and col. 11, lines 34-46).

As per claim 13, Hardy discloses:

- wherein the act of verifying that the subroutine is valid includes compiling the subroutine and determining if the function compiled without generating an error (col. 11, lines 34-46).

As per claim 14, Hardy discloses:

- wherein the act of verifying that the subroutine is valid includes compiling the subroutine, determining if compiling the subroutine generated an error, and if compiling the subroutine generated an error, then displaying a screen on the computer that allows editing of the rule (col. 11, lines 34-46).

As per claim 15, Hardy further discloses:

- displaying either the first value or the second value on the computer (col. 11, lines 40-67).

As per claim 16, Hardy discloses the invention substantially as claims discussed above.

However, Hardy does not explicitly disclose:

- wherein the act of receiving the parameter includes receiving the parameter by a browser.

Wang disclose a method for serving rules on a network through servlet and applet including:

- wherein the act of receiving the parameter includes receiving the parameter by a browser (col. 2, lines 57-64).

Therefore, it would have been obvious to one of ordinary skill in the art at the time the invention was made to modify Hare by including a web browser on the client device thereby allowing the client input rules to server in order to receive a result set in a timely and efficient manner.

As per claim **17**, Hardy discloses the invention substantially as claims discussed above.

However, Hardy does not explicitly disclose:

- wherein the act of transmitting the parameter to the server includes transmitting the parameter over the Internet.

Wang disclose a method for serving rules on a network through servlet and applet including:

- wherein the act of transmitting the parameter to the server includes transmitting the parameter over the Internet (col. 3, lines 7-14 and lines 42-52).

Therefore, it would have been obvious to one of ordinary skill in the art at the time the invention was made to modify Hare by including the step of receiving proposed data from a client computer via an electronic network and utilize the server computer to prepare the result data in order to provide rules and parameters to users via the network in a timely and efficient manner.

As per claim **18**, Hardy discloses:

- wherein the method further includes executing the subroutine (col. 11, lines 40-67).

As per claim **19**, Hardy discloses the invention substantially as claims discussed above.

However, Hardy does not explicitly disclose:



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- wherein the act of transmitting the first value includes transmitting the first value over the Internet.

Wang disclose a method for serving rules on a network through servlet and applet including:

- wherein the act of transmitting the first value includes transmitting the first value over the Internet (col. 3, lines 7-14 and lines 42-52).

Therefore, it would have been obvious to one of ordinary skill in the art at the time the invention was made to modify Hare by including the step of receiving proposed data from a client computer via an electronic network and utilize the server computer to prepare the result data in order to provide rules and parameters to users via the network in a timely and efficient manner.

As per claim **20**, Hardy discloses a program storage device containing instructions that when executed by a computer performs the following acts:

- the rule defining a premise and a conclusion to drawn if the premise is true (paragraphs 0026-0027); and
- receive an assessment by the computer that is generated by the server based at least in part upon the premise and the parameter (col. 21, lines 17-41).

However, Hardy does not explicitly disclose:

- display a field for receiving a rule;
- transmit the rule server from the computer to a server; and
- displaying a field for receiving a parameter.

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Wang disclose a method for serving rules on a network through servlet and applet including:

- display a field for receiving a rule (col. 3, lines 29-41);
- transmit the rule server from the computer to a server (col. 3, lines 7-14 and lines 42-52); and
- displaying a field for receiving a parameter (col. 3, lines 29-41).

Therefore, it would have been obvious to one of ordinary skill in the art at the time the invention was made to modify Hare by including the step of receiving proposed data from a client computer via an electronic network and utilize the server computer to prepare the result data in order to provide rules and parameters to users via the network in a timely and efficient manner.

As per claim **21**, Hardy discloses a program storage device containing instructions that when executed by a server performs the following acts:

- the rule defining a premise and a conclusion to be drawn if the premise is true from a remote electronic device (col. 10, lines 12-20); and
- then generate a subroutine for determining if the premise is true or the premise is false and drawing a conclusion based at least upon whether the premise is true or the premise is false (col. 11, lines 40-67).

However, Hardy does not explicitly disclose:

- receive a rule by the server that was transmitted by a computer.

Wang disclose a method for serving rules on a network through servlet and applet including:

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- receive a rule by the server that was transmitted by a computer (col. 3, lines 7-14 and lines 42-52).

Therefore, it would have been obvious to one of ordinary skill in the art at the time the invention was made to modify Hare by including the step of receiving proposed data from a client computer via an electronic network and utilize the server computer to prepare the result data in order to provide rules and parameters to users via the network in a timely and efficient manner.

3. Claims 4 and 9 are rejected under 35 U.S.C. 103(a) as being unpatentable over Hardy in view of Wang and in further view of de Judicibus (U.S. Pat. No. 6,651,054).

As per claim 4, Hardy in view of Wang discloses the invention substantially as claims discussed above.

However, Hardy in view of Wand does not explicitly disclose:

- wherein the act of receiving a rule includes receiving a PL/SQL equation.

de Judicibus discloses a method, system and program for merging query search results comprising:

- wherein the act of receiving a rule includes receiving a PL/SQL equation (col. 8, lines 59-67).

Given the teaching of de Judicibus, it would have been obvious to one of ordinary skill in the art at the time the invention was made to modify Hardy in view of Wang to include a PL/SQL function to submit rules on a computer to allow a user to receive a result set in a timely and efficient manner.

As per claim 9, Hardy in view of Wang discloses the invention substantially as claims discussed above:

However, Hardy in view of Wang does not explicitly disclose:

- wherein the act of generating the subroutine includes generating a PL/SQL function.

de Judicibus discloses a method, system and program for merging query search results comprising:

- wherein the act of generating the subroutine includes generating a PL/SQL function (col. 8, lines 59-67).

Given the teaching of de Judicibus, it would have been obvious to one of ordinary skill in the art at the time the invention was made to modify Hardy in view of Wang to include a PL/SQL function to submit rules on a computer to allow a user to receive a result set in a timely and efficient manner.

### ***Response to Arguments***

#### **The Office Notes the following arguments:**

- a. Hardy does not disclose generating a subroutine that determines if the premise of claim 1 is true.
- b. Hardy does not disclose transmitting the rule to a server.
- c. Hardy does not disclose transmitting rules or parameters to a server.
- d. Hardy does not disclose transmitting a rule from a computer to a server.

#### **In response to:**

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(a) Applicant's arguments have been fully considered but they are not persuasive. Applicants' argue that Hardy does disclose generating a subroutine that determines if the premise of claim 1 is true is not persuasive. However, Applicants' argument is not supported by the specification.

(b-d) Applicant's arguments have been considered but are moot in view of the new ground(s) of rejection.

### *Conclusion*

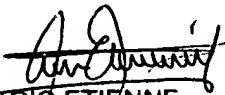
Any inquiry concerning this communication or earlier communications from the examiner should be directed to LaShonda T. Jacobs whose telephone number is 571-272-4004. The examiner can normally be reached on 8:30 A.M.-5:00 P.M..

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Ario Etienne can be reached on 571-272-4001. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

ltj  
November 22, 2005

LaShonda T Jacobs  
Examiner  
Art Unit 2157

  
ARIO ETIENNE  
PRIMARY EXAMINER